

1 Modeling epidemiological disturbances in LANDIS-II

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23 **Abstract**

24 Forest landscape simulation models (FLSMs) – often used to understand and project forest
25 dynamics over space and time in response to environmental disturbance – have rarely included
26 realistic epidemiological processes of plant disease transmission and impacts. Landscape
27 epidemiological models, by contrast, frequently treat forest ecosystems as static or make simple
28 assumptions regarding ecosystem change following disease. Here we present the Base
29 Epidemiological Disturbance Agent (EDA) extension that allows users of the LANDIS-II FLSM
30 to simulate forest pathogen spread and host mortality within a spatially explicit forest simulation.
31 EDA enables users to investigate forest pathogen spread and impacts over large landscapes (>10⁵
32 ha) and long time periods. We evaluate the model extension using *Phytophthora ramorum* as a
33 case study of an invasive plant pathogen causing emerging infectious disease and considerable
34 tree mortality in California. EDA will advance the utility of LANDIS-II and forest disease
35 modeling in general.

36

37 **Keywords:** LANDIS-II, Forest landscape model, Pathogen, *Phytophthora ramorum*,
38 Disturbance, Epidemiological model

39

40 **Software name:** Base EDA for LANDIS-II

41 **Programming Language:** C#

42 **Available at:** <http://www.landis-ii.org/extensions>

43 **Source Code:** <https://github.com/LANDIS-II-Foundation/Extension-Base-EDA>

44 **Reproducible Analysis Repository:** https://github.com/f-tonini/LANDIS-II_EDA_CaseStudy

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47 **1. Introduction**

48 Epidemiological disturbances, such as emerging pathogens and infectious disease
49 outbreaks, are important agents of forest change around the world, causing tree mortality at
50 scales ranging from individual trees of a single species to entire forest patches (Meentemeyer et
51 al., 2008; Welsh et al., 2009). Beyond the complete loss of certain tree species, forest pathogens
52 can significantly alter the functioning of forested ecosystems and the services they provide
53 (Liebhold et al. 1995, Simberloff 2000, Vitousek et al. 1997). For example, pathogens can reduce
54 the capacity of forests to sequester carbon, and can strongly interact with other types of
55 disturbance such as fire, insects, and drought (Anderson et al. 2004, Dale et al. 2009, Dwyer et
56 al. 2004, Jactel et al. 2012, Vitousek et al. 1997). Developing a better understanding of how
57 forest pathogens interact with other disturbances and changing environmental conditions to alter
58 forest ecosystem dynamics is crucial for land managers, decision makers, and any stakeholder
59 with multiple local interests involved (Cobb and Metz 2017, Rizzo et al. 2005).

60 Forest landscape simulation models (FLSMs) have been developed to specifically address
61 management and research questions at landscape scales ($>10^5$ ha) by projecting forest dynamics
62 over space and time (Mladenoff 2004, Scheller and Mladenoff 2007). These models typically
63 include details such as tree age, species and biomass, and are widely used to analyze the
64 influence of disturbances over time as they affect large-scale forest ecosystem dynamics
65 (Thompson et al. 2016). One of several FLSMs, LANDIS-II stands out as a process-based forest
66 landscape model that can include variable time steps for different ecological processes (e.g.
67 succession, disturbance, seed dispersal, forest management, carbon dynamics) and simulate their
68 interactions as an emergent property of the independently simulated processes (Mladenoff 2004,
69 2005, Scheller et al. 2007). LANDIS-II continues to grow its user community and several

70 extensions are available to simulate disturbances like wind, fire, insects, harvesting, or land-use
71 change. To date, the representation of forest pathogen and disease spread in FLSMs including
72 LANDIS-II has been lacking.

73 Landscape epidemiological models frequently treat forest composition and host density
74 as static (Meentemeyer et al. 2012), meaning that the species do not age or experience effects of
75 disturbance. This makes it difficult to understand how disease alters competitive interactions
76 among species, a process known as apparent competition, which can alter species composition at
77 a landscape level (Cobb et al. 2010). This lack of realistic changes in host community
78 composition greatly impedes modeling the interactions of other landscape-level disturbances
79 with disease spread (Cobb and Metz 2017).

80 In this paper, we fill this gap by introducing the Base Epidemiological Disturbance Agent
81 (EDA) extension for LANDIS-II, which simulates forest pathogen spread and mortality in
82 forested landscapes. The new extension is compatible with all LANDIS-II succession extensions
83 and can be used in conjunction with other disturbance extensions (e.g., fire, insect, wind) to
84 simulate their combined effects on forest landscape dynamics. In this paper, we provide an
85 overview of the modeling framework behind Base EDA and an example application of the
86 extension to simulate the expansion of the pathogen (*Phytophthora ramorum*) that causes
87 “sudden oak death” within the Big Sur area of California (USA).

88

89 **2. Model description**

90 LANDIS-II is a raster-based modeling framework consisting of a model core that links,
91 parses, and validates data from multiple extensions (modules) and allows the user to “plug in” a
92 forest succession extension and any number of optional disturbance extensions (Scheller et. al.

93 2007). EDA is a disturbance extension compatible with all LANDIS-II succession extensions. It
94 is open source and freely available at the LANDIS-II website². The download comes with an
95 installer, user guide and sample data.

96 Base EDA requires the user to supply a raster map with location(s) of initial infection.
97 The user must also supply agent-specific parameters such as host transmissivity, host
98 susceptibility, climate tolerances and preferences, mean transmission rate, acquisition rate,
99 maximum dispersal distance, and choose the appropriate dispersal kernel and exponent (see
100 Sections 2.1-2.3 below). The user also provides parameters defining how other disturbances
101 modify likelihood of infection. We demonstrate Base EDA with a case study of *Phytophthora*
102 *ramorum*, the pathogen which causes sudden oak death, a major forest disease in California
103 (Meentemeyer et al. 2008, 2012, Metz et. al. 2017). For sudden oak death, fire kills the pathogen
104 and slows reinfection for several years following fire (Beh et al. 2012).

105 Base EDA is specifically designed to simulate asymmetric weather-driven transmission
106 of pathogen infection within a multi-host landscape. Transmission is modeled as a dynamic
107 process affecting a meta-population comprised of N contiguous subpopulations represented by
108 cells (sites) arranged on a grid. Cells contain forest tree species age cohorts, and (optionally)
109 nonforest vegetation types. Tree mortality simulated by EDA is passed to the succession model
110 that in turn handles vegetation response to that mortality (e.g., changes in light, water, and/or
111 nutrients, depending on the succession extension used). Epidemiological disturbances within the
112 EDA are probabilistic at the site level, where each site is assigned a probability of being in one
113 of the following states: Susceptible (S), Infected (infectious non-symptomatic) (I), Diseased
114 (infectious and symptomatic) (D). Probabilities are compared with a uniform random number to

² www.landis-ii.org

115 determine whether the site becomes infected or, if already infected, to become diseased. Disease
116 causes species- and cohort-specific mortality in the cell. The epidemiological model is similar to
117 that in Meentemeyer et al. (2011) with adjustments made to fit the LANDIS-II framework and
118 account for mortality. Additionally, the model can handle more than one EDA agent (pathogen),
119 and is most compatible with aerial dispersal.

120

121 **2.1 Site Host Index**

122 Site host index (SHI) was adapted from the “site resource dominance” concept in the
123 LANDIS-II Biological Disturbance Agent Extension (Sturtevant et al. 2004). SHI accounts for
124 the spatial distribution of known hosts of the EDA agent and is a combined function of tree
125 species composition and the age cohorts present on that site. This approach allows the
126 quantification of susceptibility for each non-infected cell to become infected, and the suitability
127 of each infected cell to produce infectious spores. The relative host index value of a given
128 species cohort is defined by its host competency class, where low, medium, and high
129 competency classes are user-defined using values ranging between 1 and 10, with non-hosts
130 having a value of 0. The EDA extension compares a look-up table with the species cohort list at
131 each cell generated by LANDIS-II to calculate SHI at time t using one of two methods: 1) the
132 host value from the maximum host competency class present, or 2) an average host value of all
133 tree species present, where the host value of each species is represented by the one assigned to
134 the oldest cohort. Species identified as “ignored” do not contribute to the calculation of average
135 resource value, while non-host species that are not ignored contribute a value of 0. Non-
136 sporulating hosts (i.e. hosts that do not contribute to pathogen or disease transmission) should not
137 be included in the host index calculation.

138

139 2.1.1 Site host index modifiers

140 Site host index modifiers (SHIMs) are optional parameters used to adjust SHI to reflect
141 variation introduced by both site environment (i.e., land type) and recent disturbances (Sturtevant
142 et al. 2004). Land type modifiers (LTMs) and disturbance modifiers (DMs) can range between -
143 10 and +10, and are added to the SHI value of all affected sites where host species are present
144 ($SHI > 0$). LTMs are assumed to be constant for the entire simulation, while DMs have a defined
145 duration and decline linearly with the time since last disturbance (t_{DST}) as follows:

$$146 \quad DM_{DST}(t) = DM_{max,DST} * \frac{DM_{duration,DST} - t_{DST}}{DM_{duration,DST}}$$

147 Disturbances that may affect a given EDA agent include fire, wind, other EDA agents and
148 insects, as well as timber harvest. SHI is then modified by LTM and the sum of all DMs:

$$149 \quad SHIM(t) = SHI(t) + LTM + (DM_{wind}(t) + DM_{fire}(t) + \dots)$$

150 The user should calibrate the two modifiers to reflect the relative influence of species
151 composition/age structure, the abiotic environment, and recent disturbance on SHI. SHIM is

152 normalized by its mean over the entire study area, $SHIM(t) = \frac{SHIM(t)}{SHIM_{mean}}$, and modifies the

153 disease transmission rate, β (see Section 2.2). Normalization of SHI allows comparison of β

154 against homogeneous landscape conditions (where $SHIM = 1$) and to interpret β as the rate of

155 secondary infection of cells by a single infected neighboring cell in an otherwise uninfected

156 landscape.

157

158 2.2 Weather

159 An annual weather index, $w(t)$, is used to account for the effect of weather conditions on
 160 the probability of uninfected hosts becoming infected, and infected hosts spreading an individual
 161 EDA agent. Weather predictors (or transformations thereof) should be selected based on their
 162 relevance to the chosen EDA agent. The weather index is multiplied by a baseline transmission
 163 rate, β_0 , to produce a time-dependent transmission rate, $\beta(t) = w(t)\beta_0$, where β_0 is defined by
 164 the user. The basic weather index for year t , $W(t)$, comprises the cumulative effect of N weather
 165 predictors (e.g. rainfall alone, or rainfall and temperature) over a range of months, specified by
 166 the user (e.g. April to June), and is calculated as follows:

$$167 \quad W(t) = \sum_{d \in [month_A(t), \dots, month_B(t)]} X_1 * X_2 * \dots * X_N \quad (1)$$

168 where $X_1 * X_2 * \dots * X_N$ represent the weather predictors and the cumulative sum runs over days
 169 d included between two user-defined months ($month_A$ and $month_B$) for the current year t . If
 170 necessary, weather predictors in (1) can be replaced by derived (e.g., aggregated, or transformed)
 171 versions. As an example, a predictor can be aggregated (summed or averaged) over N
 172 consecutive days of a week or month (e.g., cumulative precipitation). Transformed predictors are
 173 expressed by a function, $f(X)$. In the current version of the extension (v1.0), only a polynomial
 174 transformation is available for the user, defined as:

$$175 \quad f(X) = A + B + \exp\left(C * \left[\ln\left(\frac{X}{D}\right)/E\right]^F\right)$$

176 where A, B, C, D, E, F are constants specified by the user to adjust the shape of the polynomial
 177 (e.g., improving polynomial fit to empirical data on response of EDA agent to changes in
 178 temperature). As an example, such a transformation can reflect changes in rate of pathogen
 179 sporulation at increasing temperature values. The actual weather index, $w(t)$, is normalized by
 180 the mean W_{mean} over the available time series of historical weather predictors: $w(t) =$
 181 $W(t)/W_{mean}$. Normalization means that β_0 can be interpreted as the annual transmission rate

182 under average (or under constant) weather conditions. The weather index built this way varies
183 annually, but is spatially-uniform within each ecoregion.

184

185 **2.3 Epidemiological Processes**

186 The epidemiological model shares features with spatially-structured metapopulation
187 models and relies on a few important assumptions: First, only the presence/absence of infection
188 in each cell is accounted for. This simplification ignores a transient effect (occurrence, spread
189 and intensification) within the same cell, assuming that an effective level of inoculum is reached
190 rapidly (but still below the maximum sporulating capacity of the cell). Improving this
191 approximation would require a much larger computational effort in the parameter estimation
192 procedure described in Filipe et al. (2012). Second, infected cells immediately become
193 infectious, which is particularly true for an EDA with a small latent period across its host range.
194 Third, infected sites remain infectious for an undetermined (i.e., long) period; in epidemiological
195 terms the infectious period is considered indefinite and is left out of the model. The practical
196 implication is that no cell can recover from infection throughout the simulation, for example by a
197 within-host process such as a host defensive response. However, conversion from infected to
198 uninfected status of a cell can occur due to 1) mortality of susceptible species by disease or other
199 disturbances and/or 2) successional processes that result in a community with no hosts.

200 At every time step t , a susceptible cell (site) i can become *cryptically infected* subject to a
201 force of infection $\Lambda_i(t)$ and, once infected, it can become diseased at rate r_D . Despite potentially
202 containing dead hosts, *symptomatically infected* (diseased) cells have the same transmission rate,
203 i.e., are as infectious as cryptically infected cells. The probabilities that cell i is in each of the
204 possible states (Susceptible, Infected, Diseased), $P_{i,S}$, $P_{i,I}$, and $P_{i,D}$, respectively, are governed by

205 a system of differential equations:

$$206 \quad \frac{\Delta P_{i,S}}{\Delta t} = -\Lambda_i(t)P_{i,S}$$

$$207 \quad \frac{\Delta P_{i,I}}{\Delta t} = \Lambda_i(t)P_{i,S} - r_D P_{i,I}$$

$$208 \quad \frac{\Delta P_{i,D}}{\Delta t} = r_D P_{i,I}$$

209 The initial conditions for each cell, at the estimated time of onset of the outbreak, are $P_{i,S} = 1$, $P_{i,I}$
210 $= 0$, $P_{i,D} = 0$, except at the cell estimated to be the location of the first infection, where $P_{i,S} = 0$,
211 $P_{i,I} = 1$, $P_{i,D} = 0$. The force of infection, $\Lambda_i(t)$, is given by:

$$212 \quad \Lambda_i(t) = \beta(t) \sum_{j \neq i} SHIM_j(t) * SHIM_i(t) * P_{j,I+D|i,S} * K(d_{ij}) \quad (2)$$

213 where $\beta(t) = w(t)\beta_0$ is the transmission rate, with $w(t)$ the annual index of weather fluctuation
214 about a N -year average (see Section 2.2) and β_0 the baseline rate; $K(d_{ij})$ is a dispersal kernel
215 (see Section 2.3.1) for a given distance d between target and source cells; $P_{j,I+D|i,S}$ is the
216 conditional probability that source cell j is infectious (either cryptic or symptomatic infection)
217 given that target cell i is susceptible. To achieve a first order of approximation, we assume that
218 $P_{j,I+D|i,S} \approx P_{j,I} + P_{j,D}$ which we expect to be a reasonable approximation to the infection
219 pattern, especially when dispersal is not too localized (e.g. within short distance from source of
220 infection).

221

222 2.3.1 Dispersal kernel

223 The dispersal kernel used in Base EDA is derived from, and shares code with, the seed
224 dispersal kernel developed by Lichti and colleagues (N. Lichti, Purdue University, unpublished
225 manuscript). This dispersal function and associated distributions are especially suitable for
226 aerially dispersed EDA agents that include a broad range of fungi and mistletoes. The probability

227 that the agent disperses a distance d from the source was expressed by two main functional
228 forms, often used in the literature: a power-law and a negative exponential. Their generic form
229 can be defined as follows:

$$230 K_{PowerLaw}(d) = d^{-\alpha}$$

$$231 K_{NegExp}(d) = e^{-d/\alpha}$$

232 An EDA agent produced in a source cell can only be deposited in a cell different from the
233 source, i.e., transmission in force of infection (Λ , see Section 2.3 above) is conditional on the
234 agent being dispersed outside the source cell. The rationale for this choice is that infection
235 processes within a cell are not tracked (no transient effect). In addition, the kernel must integrate
236 to 1 within a chosen 2D spatial neighborhood window (excluding the source cell). The 2D
237 window accounts for all possible pathways through which the target cell can become infected by
238 a given source cell. A user-defined maximum radial distance is used to limit EDA agent dispersal
239 within a chosen neighborhood size. For cases where only local, short-distance dispersal events
240 are considered, this parameter becomes essential to reduce computational burden. Only isotropic
241 dispersal (no wind-assisted directional spread) was considered for version 1.0 of the Base EDA
242 extension.

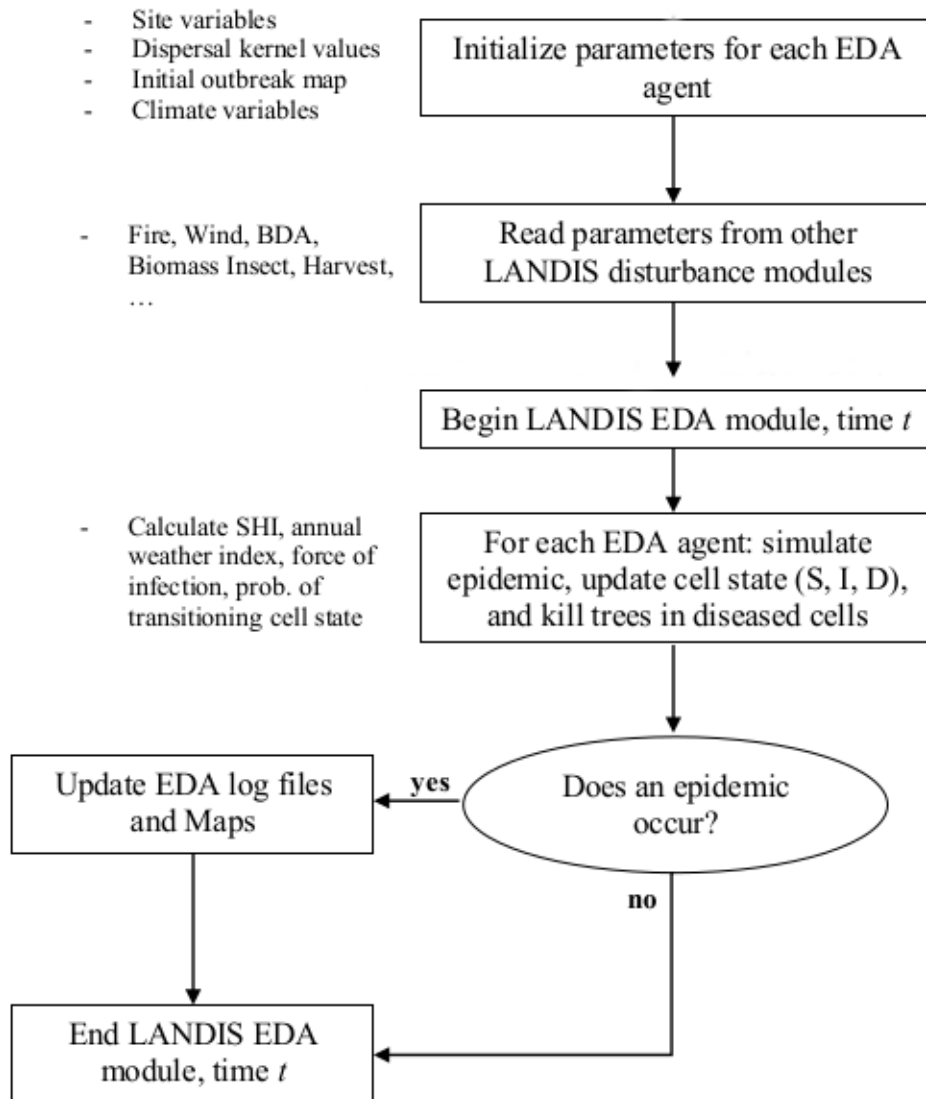
243

244 2.3.2 *Tree species cohort mortality*

245 Within each diseased cell, the mortality of individual tree species age cohorts is a
246 probabilistic function of the mortality probability of the cohort's vulnerability class. The user
247 defines which species and ages fall into each vulnerability class (low-high), and the probability
248 of cohort mortality for each class. Probabilities are compared with a uniform random number to
249 determine whether an entire age-cohort dies (i.e. is removed) or not, where tree species cohort

250 mortality is then passed to the succession extension which handles the removal of the cohort(s)
251 and updates the cohort list. We acknowledge that complete cohort removal rather than a partial
252 one may be a simplistic assumption in the current version of the model, but for many landscape-
253 level processes or dynamics it should not cause significant changes in outcome. The Base EDA
254 time step concludes updating the time since last disturbance, updating the time since last
255 disturbance, outputting maps of cell states (1 = Susceptible, 2 = Infected, 3 = Diseased) and
256 cohort mortality, and by updating the Base EDA log file (Fig. 1).

257



258

259 **Figure 1:** Flow diagram illustrating the main logical structure of the LANDIS-II Base

260 Epidemiological Disturbance Agent (EDA) extension.

261

262 3. Case study

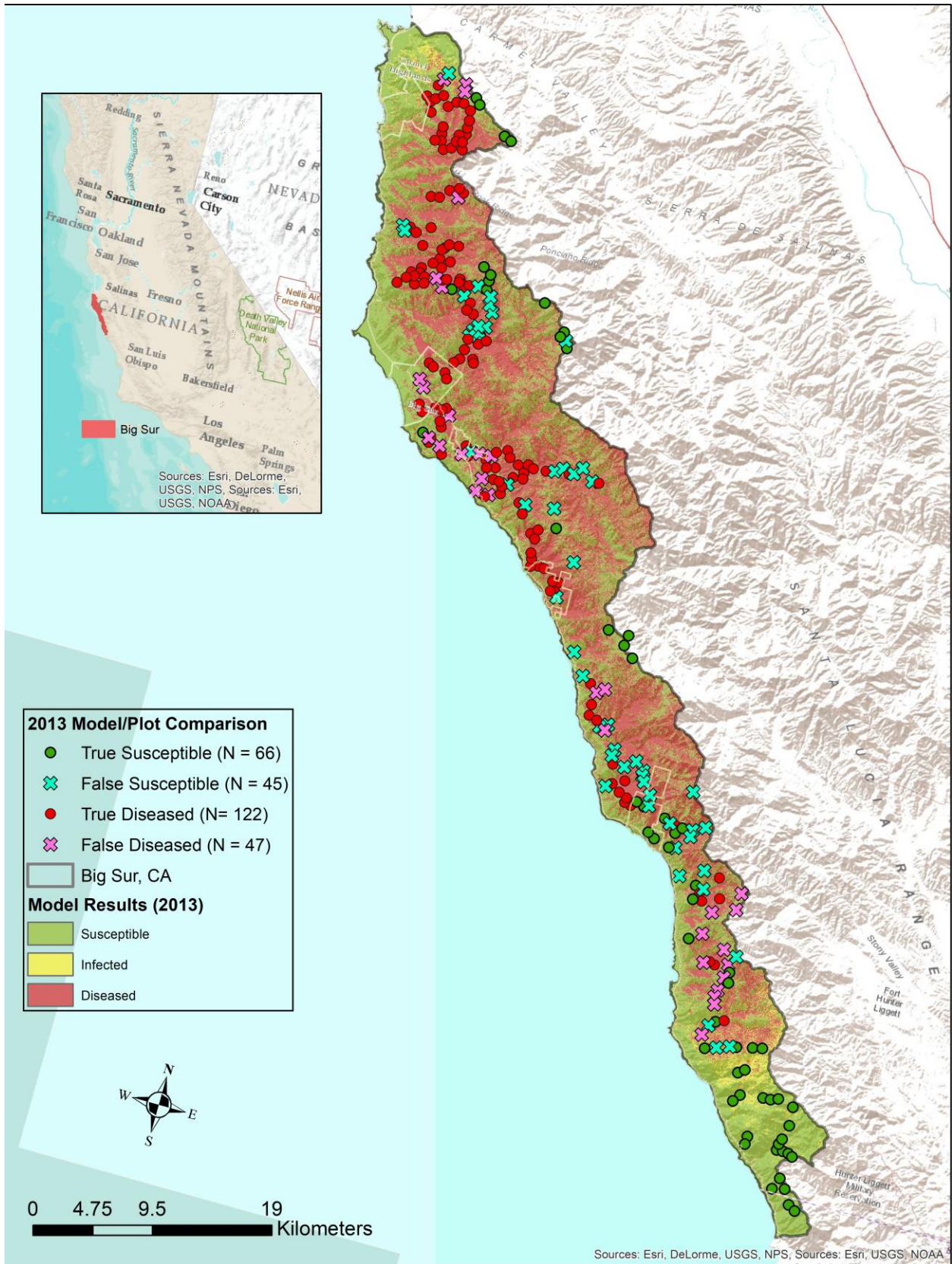
263 To demonstrate the capabilities of the Base EDA extension, we modeled 23 years of

264 *Phytophthora ramorum* spread within an 8,017 km² area of central California, USA (Fig. 2). *P.*

265 *ramorum* infects multiple hosts with some tree and shrub species experiencing non-lethal foliar

266 symptoms known as ramorum blight, and oaks and tanoaks experiencing lethal stem cankers that
267 lead to the disease sudden oak death. The simulations were initiated with the best-known
268 locations of initial infection in the study area in 1990 and simulated through 2013 (the last year
269 for which plot level infection data are available) (Gaydos et. al. 2017, Metz et. al. 2017). We
270 used LANDIS-II NECN Succession 1.0 (Scheller et al. 2011) to simulate forest growth and
271 succession and the LANDIS-II Base EDA 1.0 extension to simulate spread of *P. ramorum* and
272 mortality caused by SOD. Parameter values chosen for the EDA agent in this simulation are
273 reported in Supplementary material Appendix 1, Table A1-A2. The simulations used a 30-m cell
274 size. Base EDA used 1-year time steps and NECN used 10-year time steps. We compared the
275 simulated disease spread in 2006, 2007, 2009, 2010, 2011, and 2013 with the subset of plots that
276 were sampled in that year (i.e. plots sampled in 2006 were compared to model results in 2006
277 etc.) (Fig. 2) (Meentemeyer et. al. 2008, Metz et. al. 2017). We achieved a simulation accuracy
278 of approximately 73.05% and 58.33% for infected and uninfected plots, respectively, for an odds
279 ratio of 3.79 (Table 1). Calibration would allow for this to be further improved. Currently, the
280 model is not predicting negative values as well as it does for positive values. Further calibration
281 should improve this behavior. Moreover, it is partially due to the fact that the host data being
282 used for the model are only 80% accurate at the landscape level.

283 The results also replicate the patchy nature of *P. ramorum* infection observed in the field
284 (Meentemeyer et. al. 2008, Metz et. al. 2017). This example illustrates the utility of being able to
285 simulate disease spread and mortality with an existing FLSM to understand not only the spread
286 of the disease, but also its potential impacts to the ecosystem through mortality of host trees.



288 **Figure 2:** 2013 most recent plot disease status compared to 2013 model results. For comparison
 289 we used modeled diseased status and most recent plot diseased status (not all plots are sampled
 290 every year so this comparison will tend to underestimate plot disease status). For simplicity and
 291 realistic comparisons, we treated both infected and uninfected model results as uninfected since
 292 infected non-symptomatic areas would be recorded as uninfected in the field due to no visible
 293 symptoms.

294
 295 Table 1: Accuracy assessment of the model results at a landscape level comparing plot
 296 observations to model observations for the year the observations occurred (e.g. plots sampled in
 297 2007 were compared to model results in 2007). The true positive rate is 73.1% and the true negative
 298 rate is 58.3% and total accuracy is 68.9%. Values are aggregates of all years considered in the
 299 model.

		Observed	
		Positive	Negative
Modeled	Positive	225	50
	Negative	83	70
		73.1%	58.3%

300
 301 We performed a sensitivity analysis of the model's transmission rate (β_0) and the α
 302 coefficient in the dispersal kernel. We choose to focus on both β_0 and the α coefficient as they are
 303 the parameters that will allow the user most flexibility when calibrating the model and they will
 304 have substantial impact on spread. For this analysis we focused on model accuracy as measured
 305 by the odds ratio. We ran 3 simulations of each model with a different random seed in order to
 306 account for stochasticity between model runs. β_0 varied from 4.00 to 5.00 in 0.25 increments and
 307 α varied from 2.4 to 2.6 in 0.1 increments for a total for 15 different combinations of β_0 and α and

308 total number of model simulations of 45. On average decreasing β_0 by 0.25 resulted in a 7.01%
309 decrease in the odds ratio (a measure of accuracy) while holding α constant. On average a 0.1
310 decrease in α resulted in a 15.2% increase in the odds ratio while holding β_0 constant.

311 More broadly, the Base EDA extension could be a suitable landscape modeling tool for a range
312 of EDA agents. Across the globe, an increasing number of destructive pathogens have emerged
313 as disturbance agents shaping forest structure and function at landscape scales. These events
314 have substantial ecological and economic impacts, the understanding of which are important to
315 designing management responses (Liebhold et al. 1995, Simberloff 2000, Vitousek et al. 1997).

316 The default Base EDA data and parameterization is most suitable for aerially dispersed
317 pathogens and those where a biologically-driven infectious period is not a significant factor.
318 These conditions are met for the most destructive forest diseases in North America including
319 chestnut blight, sudden oak death, and possibly Beech Bark Disease although the latter system
320 involves an insect that may complicate the process of infection and spread. In practice, we
321 emphasize the importance of parameterizing the dispersal kernel for application to a new system.

322 Proper understanding of dispersal dynamics is critical to accurate forecasting of spread and
323 disease dynamics (Meentemeyer et al. 2011; Filipe et al. 2012; Metz et al. 2017). Acquiring
324 empirical measurements of dispersal at scales more than a few meters is challenging but we
325 emphasize it is incumbent on users to overcome this difficulty in order to properly apply the
326 model. Examples of confronting this problem for *P. ramorum* can be found in Meentemeyer et
327 al. 2011 and Filipe et al. 2012. These examples integrated several datasets to estimate and
328 validate dispersal parameters including spore trapping, molecular data, landscape-extent
329 monitoring plot networks, and aerial tree mortality mapping from fixed-wing aircraft. We
330 encourage further experimentation with alternative formulations of dispersal kernels and

331 environmental (weather) dependencies as these could render the extension suitable for a greater
332 range of epidemiological disturbance agents such as pathogens spread via insect vectors,
333 movement of contaminated soil or plant material, and spread in waterways.

334

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356

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